

82262

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent Application

Applicant: Thomas Zwick

Serial No.: 09/786,897

Filed: March 9, 2001

For: **DEVICE FOR ESTABLISHING A  
GALVANICALLY SEPARATE CONNECTION  
BETWEEN A TELEPHONE LINE AND A SIGNAL  
PROCESSING UNIT AT THE SUBSCRIBER END  
OF THE TELEPHONE LINE**

) Art Unit:

)  
) *I hereby certify that this correspondence is  
) being deposited with the United Postal  
) Service as first class mail in an envelope  
) addressed to: Assistant Commissioner of  
) Patents, Washington, DC 20231, on  
) May 21, 2001*

)   
) Gerald T. Shekleton Reg. No. 27,466 Date

CORRESPONDENCE

Assistant Commissioner for Patents  
BOX PCT

Washington, D.C. 20231

Attn: RO/US

Sir:

In response to the Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office dated April 23, 2001, Applicant encloses an executed Declaration and Power of Attorney, an Assignment, Form PTO-1595; check for \$170.00 (to cover surcharge for late declaration and assignment recordal fee), and a copy of postcard.

The Commissioner is hereby authorized to charge any deficiency in the required fee or to credit any overpayment to Account No. 23-0920. Accordingly, the defects in the application are now considered corrected.

Dated: May 21, 2001

Respectfully submitted,

By



Gerald T. Shekleton  
Registration No. 27,466

Repl. Ref: 05/30/2001 LLANDGRA 0008563700  
Name/Number: 09786897  
FC: 704 \$130.00 CR

WELSH & KATZ, LTD.  
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Chicago, Illinois 60606-3913  
Telephone: (312) 655-1500



UNITED STATES PATENT AND TRADEMARK OFFICE

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Commissioner for Patents, Box PCT  
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U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/786897	ZWICK T WELSH & KATZ, LPA	82262
INTERNATIONAL APPLICATION NO.		

GERALD T SHEKLETON  
WELSH & KATZ  
22ND FLOOR  
120 SOUTH RIVERSIDE PLAZA  
CHICAGO, IL 60606

PCT/DE99/02911

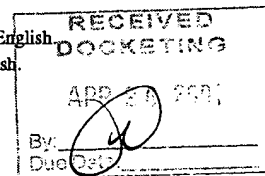
I.A. FILING DATE	PRIORITY DATE
14 SEP 99	30 SEP 98

DATE MAILED: 23 APR 2001

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):

- |   |  |
|---|--|
| <input checked="" type="checkbox"/> U.S. Basic National Fee.  | <input type="checkbox"/> Indication of Small Entity Status.                                    |
| <input checked="" type="checkbox"/> Copy of the international application.  | <input checked="" type="checkbox"/> Translation of the international application into English. |
| <input type="checkbox"/> Oath or Declaration of inventors(s).   | <input type="checkbox"/> Translation of Article 19 amendments into English.                    |
| <input type="checkbox"/> Copy of Article 19 amendments.   | <input type="checkbox"/> Other:  |
| <input checked="" type="checkbox"/> Priority Document.  |  |
| <input type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any.     |  |
| <input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English. |  |



2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- ☐ U.S. Basic National Fee. ☐ Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☐ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$\_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.

7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice MUST be returned with this response.**

Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation  
☐ PTO-875 ☐ PCT/DO/EO/920

John Anderson

FORM PCT/DO/EO/905 (March 2001)

Telephone: 703-308-9116